

UNIT TRANSFER FORM

FORM 8



The fastest way to provide us your form and supporting documents is to email them to registry@anzinvestments.co.nz. Alternatively you can post them to ANZ Investments, Freepost 324, PO Box 7149, Victoria Street West, Auckland 1142 or drop them into an ANZ branch.

1. THIS UNIT TRANSFER REQUEST RELATES TO MY/OUR INVESTMENT IN THE FOLLOWING (PLEASE TICK ONE):

☐ ANZ Investment Funds ☐ OneAnswer Multi-Asset-Class Funds ☐ OneAnswer Single-Asset-Class Funds

2. TRANSFEROR DETAILS (EXISTING INVESTOR)

Individual/Joint investors only

Investor 1

First name(s)	
Surname	
ANZ customer (or investor) number	
Phone	
Email	

IRD number

Prescribed investor rate (PIR) ☐ 10.5% ☐ 17.5% ☐ 28%

Investor 2

First name(s)	
Surname	
ANZ customer (or investor) number	
Phone	
Email	

IRD number

Prescribed investor rate (PIR) ☐ 10.5% ☐ 17.5% ☐ 28%

OR

Entities only

Company/Partnership/Trust/Estate name	
ANZ customer (or investor) number	
Phone	Email
IRD number	
Prescribed investor rate (PIR)	<input type="checkbox"/> 0% <input type="checkbox"/> 10.5% <input type="checkbox"/> 17.5% <input type="checkbox"/> 28%

If any of the tax payment details differ from what we currently have on record, they will be updated based on the information on this form before processing this unit transfer.

If you don't tell us both your IRD number and PIR, you will be taxed at the default rate of 28%.

Visit anz.co.nz/pirupdate or call 0800 736 034 or +64 9 356 4000 for help.

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3. TRANSFER DETAILS

ANZ Investment Funds and OneAnswer Multi-Asset-Class Funds

I/We request the following transfer from the transferor to the transferee (specify the percentage or number of units or dollar amount).

Conservative Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Conservative Balanced Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Balanced Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Balanced Growth Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Growth Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
High Growth Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>

OneAnswer Single-Asset-Class Funds

I/We request the following transfer from the transferor to the transferee (specify the percentage or number of units or dollar amount).

New Zealand Fixed Interest Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
International Fixed Interest Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Property Securities Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
International Property Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
New Zealand Share Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Equity Selection Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Australian Share Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
International Share Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
International Listed Infrastructure Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>
Balanced Growth Fund	<input type="text" value="% of units"/>	or	<input type="text" value="units"/>	or	<input type="text" value="\$"/>

4. TRANSFEREE DETAILS

First name(s)	Surname
First name(s)	Surname

or Company/Trust/Partnership/Estate name

Investor number
(if existing investor)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Contact number
Email

If the Transferee(s) is not an existing investor(s) in the relevant scheme (as specified in section 1), please complete the application form at the back of the product disclosure statement.

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5. IDENTIFICATION

If you believe you have already given us proof of your identity and address, continue to section 6.

Each authorised signatory must provide either verified or certified copies of your valid ID and proof of address.

- **Verified** – When you submit your forms, bring your original documents to any ANZ branch or an ANZ Investments approved financial adviser to be checked and copied.
- **Certified** – Bring your original documents to a Notary Public, Justice of the Peace, NZ lawyer, or any other person who has the legal authority to certify documents. They will make certified copies that you can then send in with your form.

See anz.co.nz/myid for more information.

If we need to ask you for further information, this will delay the processing of your unit transfer.

Notes for trusts:

- Each trustee will need to ensure that we have a copy of their identification and proof of residential address.
- If we have not already received a copy of your trust deed along with source of wealth information, you may need to provide this.
- If the signatories have changed on your trust deed since your application, you must provide us a copy of any deed of appointments and/or removals.

Identity documents

Please provide us with:

- ☐ **Option 1: ONE of these documents:**
- ☐ New Zealand passport
- ☐ National ID card
- ☐ Overseas passport (signed)
- ☐ New Zealand firearms licence
- ☐ **Option 2: A New Zealand driver licence AND ONE of these documents (must be dated within the last six months):**
- ☐ Bank statement (including from ANZ)
- ☐ Central Government Agency document (issued to you)
- ☐ SuperGold Card
- ☐ New Zealand Defence or Police Photo ID
- ☐ **Option 3: ONE form of primary non-photo ID**
- ☐ New Zealand full birth certificate
- ☐ Certificate of New Zealand citizenship
- ☐ Overseas birth certificate
- ☐ Overseas citizenship certificate
- AND**
- ONE form of secondary photo ID**
- ☐ New Zealand driver licence
- ☐ New Zealand Defence or Police Photo ID
- ☐ 18+ card or Kiwi Access Card

Proof of address

Please provide us with **ONE** of the below acceptable forms of address.

The document must be dated within the last six months and show your name and current New Zealand residential address.

- ☐ Utility bill
- ☐ Signed rental tenancy agreement, flatting or sub-letting agreement
- ☐ Short-term accommodation letter issued by the accommodation provider and include your name
- ☐ Bank statement or bank document (including from ANZ)
- ☐ Electoral roll papers
- ☐ Letter from employer on company letterhead confirming residential address
- ☐ Non-bank financial institution statement or document
- ☐ Electronic White/Yellow Pages
- ☐ Letter from a lawyer or accountant confirming your residential address
- ☐ Central Government Agency document e.g. IRD, ACC
- ☐ Insurance policy document
- ☐ Retirement home letter or invoice
- ☐ Local Council/Government letter
- ☐ Car registration notification/demand
- ☐ Letter or invoice from your general practitioner (GP)
- ☐ Educational Institution letter from education facility, must be on letterhead paper

An example of correctly certified ID



I, James Black

hereby certify that this is a true and correct copy of the original document which I have sighted, and it represents a true likeness of the individual.

Dated the 15th day of January 2016

James Black

Enrolled barrister and solicitor of the High Court of New Zealand

Ensure the 'true likeness' wording is included, that the image of you is clear, and the text can be clearly read.

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6. PRIVACY

You agree we can collect, use and disclose your information to process your application in accordance with our Privacy Statement, which is the same as ANZ Bank New Zealand Limited's and can be found at anz.co.nz/privacy. If you prefer a print version, it is available to download as a PDF or from any branch.

We take your privacy seriously, and understand the need to keep your information confidential and secure. You can access or correct your personal information by calling 0800 736 034 or asking at any ANZ branch. We may charge you a fee to access the information we have about you.

7. AGREEMENT

Joint investments – all investors must sign

Trusts – all trustees must sign, unless you have specified otherwise in your application form

Partnerships – all partners must sign, unless you have specified otherwise in your application form

Companies – at least one director or one authorised signatory must sign

If signed under power of attorney, the attorney confirms that he/she has not received notice of revocation of that power.

Transferors

I/We confirm that:

- I/We request the unit transfer as indicated on this form.
- If applicable, I/we have personally affixed my/our digital signature(s) to this document.

Name

Signature

Date

D

D

M

M

2

0

Y

Y

Name

Signature

Date

D

D

M

M

2

0

Y

Y

Name

Signature

Date

D

D

M

M

2

0

Y

Y

Name

Signature

Date

D

D

M

M

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0

Y

Y

Transferees

I/We confirm that:

- I/We request the unit transfer as indicated on this form.
- If applicable, I/we have personally affixed my/our digital signature(s) to this document.

Name

Signature

Date

D

D

M

M

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Y

Y

Name

Signature

Date

D

D

M

M

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0

Y

Y

Name

Signature

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8. WHAT TO EXPECT NEXT

- Once you've submitted your unit transfer request, you'll receive an email confirming it's been received and that we're checking all documents have been provided.
- If we require any additional information or documents we'll contact you using the mobile, email and/or postal address you have provided us.
- Once we have all the documents required we'll begin processing your request.
- If your request is approved we will send you an email with a confirmation.
- If your account balance reaches \$0 your account will be closed.

9. HOW LONG WILL IT TAKE

Once we've received your form, we aim to action your request and show it in ANZ goMoney and ANZ Internet Banking within 5 business days. It may take longer if there are public holidays, or we need to ask you for additional information.

Important notes

- All emailed unit transfer requests must be from the email address that we have recorded for you. If you have not provided us with your email address, please call us on 0800 736 034 (+64 9 356 4000 if overseas).
- Information shown in your Investment Fund account in ANZ goMoney and ANZ Internet Banking is one business day behind the current date. As a result, the available balance might be different to the balance shown in ANZ GoMoney or ANZ Internet Banking at the time of submitting your request.
- It is not possible to time your request for a specific unit price, the unit price received for your unit transfer request will be a unit price that is available within five business days of receiving your request.