

TRANS-TASMAN TRANSFER APPLICATION FORM

NEW ZEALAND TO AUSTRALIA



Use this form when you want to transfer your KiwiSaver funds to an Australian Complying Superannuation Fund.

The fastest way to provide us your form and supporting documents is to email them to withdrawals@anzinvestments.co.nz.

Alternatively you can post them to ANZ Investments, Freepost 324, PO Box 7149, Victoria Street West, Auckland 1142 or drop them into an ANZ branch.

What is required to complete this application

- This Trans-Tasman Transfer application form including the statutory declaration.
- Certified or verified evidence of your identity & residential address.
- Evidence that you have permanently left New Zealand, and are now permanently living in Australia.
- Your Trans-Tasman Portability approval form, completed and signed by your Australian superannuation provider.

Section 4 of this application has a detailed checklist.

1. YOUR INFORMATION

First name(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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Your country of birth

ANZ customer (or investor) number

IRD number

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Address

Post Code

Postal address (if different from above)

Post Code

Home phone

Mobile

Email

Are you a New Zealand resident for tax purposes?

Yes (select your prescribed investor rate below) No (your prescribed investor rate is 28%)

If you are not sure of your New Zealand tax residency status, you should seek independent tax advice.

Prescribed investor rate 10.5% 17.5% 28% (see anz.co.nz/pirupdate for help)

Note: If any of the tax payment details differ from what we have on record, they will be updated before processing this transfer.

2. AUSTRALIAN COMPLYING SUPERANNUATION FUND DETAILS

Membership number

Australian provider name

Street

Suburb

City

Postcode

Country

PO Box

Email

Contact number

If you are transferring over AUD\$450,000, or you are aged 65 or over, please check your Australian provider will accept this transfer.

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3. IDENTIFICATION

As part of your application, you must provide either verified or certified copies of your valid ID and proof of address.

- **Verified** – An ANZ branch or an ANZ Investments approved financial adviser can check your original ID and proof of address documents. They can submit your application at the same time.
- **Certified** – A Notary Public, Justice of the Peace, NZ lawyer, or any other person who has the legal authority can certify a copy of your ID and proof of address documents by checking them against the originals. You can then send in these certified copies with your application form.

See anz.co.nz/myid for more information.

If we need to ask you for further information, this will delay the processing of your application.

Identity documents

Please provide us with:

Option 1: ONE of these documents:

- New Zealand passport National ID card
 Overseas passport (signed) New Zealand firearms licence

Option 2: A New Zealand driver licence AND ONE of these documents (must be dated within the last six months):

- Bank statement (including from ANZ) Central Government Agency document (issued to you)
 SuperGold Card New Zealand Defence or Police Photo ID

Option 3: ONE form of primary non-photo ID

- New Zealand full birth certificate Certificate of New Zealand citizenship
 Overseas birth certificate Overseas citizenship certificate

AND

ONE form of secondary photo ID

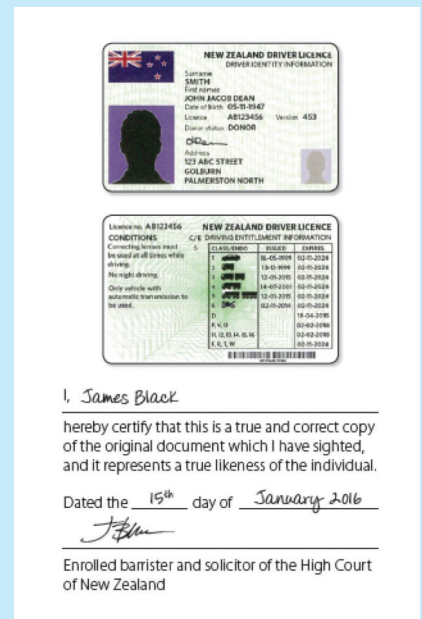
- New Zealand driver licence New Zealand Defence or Police Photo ID
 18+ card or Kiwi Access Card

Proof of address

Please provide us with **ONE** of the below acceptable forms of address. The document must be dated within the last six months and show your name and current Australian residential address.

- | | | |
|---|--|---|
| <input type="checkbox"/> Utility bill | <input type="checkbox"/> Signed rental tenancy agreement, flatting or sub-letting agreement | <input type="checkbox"/> Short-term accommodation letter issued by the accommodation provider and include your name |
| <input type="checkbox"/> Bank statement or bank document (including from ANZ) | <input type="checkbox"/> Electoral roll papers | <input type="checkbox"/> Letter from employer on company letterhead confirming residential address |
| <input type="checkbox"/> Non-bank financial institution statement or document | <input type="checkbox"/> Electronic White/Yellow Pages | <input type="checkbox"/> Letter from a lawyer or accountant confirming your residential address |
| <input type="checkbox"/> Central Government Agency document e.g. IRD, ACC | <input type="checkbox"/> Insurance policy document | <input type="checkbox"/> Retirement home letter or invoice |
| <input type="checkbox"/> Local Council/Government letter | <input type="checkbox"/> Car registration notification/demand | <input type="checkbox"/> Letter or invoice from your general practitioner (GP) |
| | <input type="checkbox"/> Educational Institution letter from education facility, must be on letterhead paper | |

An example of correctly certified ID



Ensure the 'true likeness' wording is included, that the image of you is clear, and the text can be clearly read.

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4. CHECKLIST

To avoid delays, make sure you send us everything listed below, as we can't consider your request without the following:

- Your completed application.
- Your completed statutory declaration, signed by you and witnessed by a person authorised to take statutory declarations within the last three months. (see section 10 of this form).
- Evidence you've permanently left New Zealand, for example:
 - confirmed travel and/or shipping arrangements
 - passport evidence (photo, signature and relevant stamped pages proving departure)
 - evidence of any visas
 - shipping contracts or supporting movement documentation
 - evidence of Australian employment (for example, a copy of a contract or letter from your Australian employer confirming your length of service and/or employment start date).
- Evidence that you live permanently in Australia, for example (documents must have been issued within the last 12 months):
 - a full copy of a sale and purchase agreement for an Australian property
 - a full copy of a tenancy/leasing agreement for an Australian property
 - evidence of your Australian tax status issued by the relevant Australian tax authority.
- Certified or verified evidence of your identity (if applicable, see section 3 of this form).
- Certified or verified evidence of your residential address (if applicable, see section 3 of this form).
- A Trans-Tasman Portability Approval Form, completed by your Australian provider.

5. WHAT TO EXPECT NEXT

- Once you've submitted your withdrawal application, you'll receive a text/email confirming it's been received and that we're checking all documents have been provided.
- If we require any additional information or documents we'll contact you using the mobile, email and/or postal address you have provided us.
- Once we have all the documents required we'll begin processing your application.
- If your application is approved we will send you a text/email with a confirmation.

6. HOW LONG WILL IT TAKE

Once we've received your application, we aim to pay your withdrawal within 15 business days. It may take longer if there are public holidays, or we need to ask for additional information from you or Inland Revenue.

When sending funds overseas, this may take a further 10 business days to clear and show in your account.

7. IMPORTANT INFORMATION

- There may be tax consequences when transferring your KiwiSaver savings to an Australian complying superannuation fund.
- You will no longer be a member of a KiwiSaver scheme once your transfer is completed.
- Following a transfer of your KiwiSaver savings to an Australian complying superannuation fund, you will not be able to transfer them to a third country.
- Your application won't be able to be processed if your chosen Australian complying superannuation fund doesn't accept the transferred funds.
- The amount transferred to your Australian complying superannuation fund cannot be withdrawn until you reach the New Zealand age of retirement (currently 65).
- Once your KiwiSaver savings have been transferred to Australia, they will become (with a few exceptions) subject to the rules governing your Australian complying superannuation fund.

8. PRIVACY

You agree we can collect, use and disclose your information to process your application in accordance with our Privacy Statement, which is the same as ANZ Bank New Zealand Limited's and can be found at anz.co.nz/privacy. If you prefer a print version, it is available to download as a PDF or from any branch.

We take your privacy seriously, and understand the need to keep your information confidential and secure. You can access or correct your personal information by calling 0800 736 034 or asking at any ANZ branch. We may charge you a fee to access the information we have about you.

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STATUTORY DECLARATION

Important – read this section before completing your statutory declaration.

- ANZ branch staff can't take statutory declarations. A solicitor, Justice of the Peace or Notary Public can take this statutory declaration for you. For more information about who can take statutory declarations, see anz.co.nz/myid.
- All of the boxes must be completed.
- Include your occupation. If you, the member, are either retired or unemployed, this too must be noted in the occupation box. Any errors will require a new declaration to be completed – potentially delaying your application.

9. ELIGIBILITY FOR GOVERNMENT CONTRIBUTIONS

During your KiwiSaver membership, before you permanently left New Zealand, were there any periods when you lived overseas and did not have a permanent residence in New Zealand?

No (continue to section 7) Yes. List below the periods when you lived overseas and did not have a permanent residence in New Zealand:

I lived in from to

I lived in from to

To be eligible to withdraw Government contributions you have received during your KiwiSaver membership, you must have had your principal place of residence in New Zealand over that time. If you have lived overseas, we'll need to contact the Inland Revenue regarding your Government contributions. This may take up to 10 business days.

Please note that if you were working overseas as an employee of the New Zealand Government or as a volunteer for certain charitable organisations, you are eligible for Government contributions. If this applies please provide evidence with your application, such as a letter on your employer's letterhead confirming the period you were employed.

Call 0800 736 034 (+64 9 356 4000 if overseas) or email service@anzinvestments.co.nz if you have any questions.

10. STATUTORY DECLARATION

I (Full name of the person making the declaration)
of Residential address
 Postcode
and Occupation

do solemnly and sincerely declare that:

- I have permanently left New Zealand to live in Australia on and I have no intention of returning to live in New Zealand permanently.
- during my KiwiSaver membership, my principal place of residence was New Zealand.

I understand that:

- any Government contributions I have received during my membership period whilst I did not have a principal place of residence in New Zealand will be returned to Inland Revenue.
- my funds continue to be invested, and may rise and fall in value, until the withdrawal is approved and payment is completed.
- fees, service charges and other costs will be incurred in the transfer of my KiwiSaver account balance to my Australian complying superannuation fund and in the conversion of the amount into foreign currency, and that these may be paid or deducted from the transferred amount.
- I indemnify ANZ Investments (the manager of the scheme), the supervisor of the scheme and any other person involved in the administration of my investment, and any of their related companies against all claims, actions, demands, proceedings, costs or expenses, damages or liability arising and discharge them from any liability in respect of my membership of the scheme and/or any transfer made to an Australian complying superannuation fund.

AND I MAKE this solemn declaration conscientiously believing the same to be true and by virtue of the Oaths and Declarations Act 1957.

Signature of the person **making** the declaration

Declared at (location)

on this date **2 0**

Before me: (signature, occupation and address of the person in front of whom the declaration is made)

Full name

Address

Postcode

Occupation

Signature of the person **taking** the declaration

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People who can take declarations outside of New Zealand

Commonwealth country:

- Judge
- Commissioner of Oaths
- Notary public
- Justice of the Peace
- Any person authorised by the law of that country to administer an oath there for the purpose of a judicial proceeding
- Commonwealth representative
- Solicitor of the High Court of New Zealand.

Non-Commonwealth country:

- Commonwealth representative
- Judge
- Notary public
- Solicitor of the High Court of New Zealand.

INTERNAL USE - ANZ STAFF ONLY

I (staff full name)

hereby verify that this is the original document.

Date

Signature

Staff job role

Branch name

Branch Stamp

Once completed – staff must scan this form and all required supporting documents in the checklist to withdrawals@anzinvestments.co.nz